

## Two Strategies Based on Meta-Heuristic Algorithms for Parallel Row Ordering Problem (PROP)

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### Abstract

Proper arrangement of facility layout is a key issue in management that influences efficiency and the profitability of the manufacturing systems. Parallel Row Ordering Problem (PROP) is a special case of facility layout problem and consists of looking for the best location of  $n$  facilities while similar facilities (facilities which has some characteristics in common) should be arranged in a row and dissimilar facilities should be arranged in a parallel row. As PROP is a new introduced NP-hard problem, only a mixed integer programming model is developed to formulate this problem. So to solve large scale instances of this problem, heuristic and meta-heuristic algorithms can be useful. In this paper, two strategies based on genetic algorithm (GA) and a novel population based simulated annealing algorithm (PSA) to solve medium and large instances of PROP are proposed. Also several test problems of PROP in two groups with different sizes that have been extracted from the literature are solved to evaluate the proposed algorithms in terms of objective function value and computational time. According to the results, in the first group of instances, both algorithms almost have equal performances, and in the second group PSA shows better performance by increasing the size of test problems.

### Keywords

Facility layout problem, Parallel row ordering problem, Genetic algorithm, Population based simulated annealing algorithm.

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## **Introduction**

The facility layout problem (FLP) is concerned with finding the optimal and best facility arrangement in a given layout. Some examples of the FLP applications can be related to layouts in a library, hospital or service center, an equipment assignment, the construction of the new manufacturing units, or a workshop organization. According to the paper of Drira et al. (2007), facility layout problems are categorized into different problems depending on factors including the workshop characteristics, how the problem is addressed, and the approaches used to solve the problem. One of these categories that is based on layout configuration is named multi-row problems. In the literature, until now, different multi-row problems have been proposed and different algorithms have been used to solve them. These problems in two rows are Double Row Layout Problem (DRLP), Corridor Allocation Problem (CAP), and Parallel Row Ordering Problem (PROP) which are extensions of another category of facility layout problems named Single Row Facility Layout Problem (SRFLP) with different conditional assumptions. Afterwards, SRFLP, DRLP, CAP, and PROP are described, also a summary in Table 1, related methods for modelling and different proposed algorithms of the literature for solving these problems are declared. It is notable that these problems are NP-hard.

Single row facility layout problem (SRFLP) is a main problem that has attracted the notice of many researchers in recent years (Amaral, 2013b). SRFLP is concerned with arranging a number of rectangular facilities with different length on one side of a straight line. The aim of this problem is minimizing the weighted sum of the distance between all facility pairs. Numerous applications of SRFLP are mentioned in the literature including arrangement of rooms in hospital, departments in office building or in supermarkets (Heragu & Kusiak, 1988), arrangement of machines in flexible manufacturing systems (Picard & Queyranne, 1981), assignment of files to disk cylinders in computer's storage (Anjos et al., 2005). After introducing SRFLP by Simmons in 1969, researchers have proposed different

exact algorithms to solve this problem, but because these algorithms only were able to solve small instances with up to 42 facilities, in recent years, different heuristic and meta-heuristic algorithms have been proposed to solve medium and large instances of SRFLP.

The double row layout problem (DRLP) consists of arranging rectangular facilities of different widths on both side of a corridor in order to minimize the total cost of material handling. In this problem, no facilities are restricted to any row. In 2010, Chung and Tanchoco proposed DRLP and formulated it as a mixed integer programming (MIP) problem for which only small instances may be solved optimally. Also, an application of DRLP within a fabrication line producing liquid crystal display (LCD) was described in this paper. Until now, different meta-heuristic methods have been proposed to solve medium and large instances of DRLP in the literature.

Corridor allocation problem (CAP) that was introduced by Amaral (2012), explores an arrangement of facilities along two horizontal lines that are parallel to x-axis of Cartesian coordinate system named central corridor. The aim of CAP is the minimization of the total communication cost among facilities regarding two conditions: (1) No space is permitted between two adjacent facilities. (2) The leftmost point of the arrangement on both line of a corridor must have zero abscissa. In Amaral's (2012) study, a mixed integer programming model is proposed for problem instances of moderate size. After that, different meta-heuristic algorithms have been proposed to solve CAP in the literature. The applications of CAP include arrangement of rooms in office buildings, hospitals, shopping centers, and schools (Amaral, 2012).

Parallel row ordering problem (PROP) considers arrangement of  $N$  facilities along two rows and is proposed by Amaral (2013b). Assume  $\{N_i\}_{i=1,2}$  be a partition of  $N$ , such that  $\cup_{i=1}^2 N_i = N$  and  $N_1 \cap N_2 = \emptyset$ . Let  $R=\{1,2\}$  be a set of two rows. A one-to-one assignment of the set  $\{N_i\}_{i=1,2}$  is done to set  $R$  so that the facilities pertaining to the subset  $N_i$  should be arranged along row  $r$  (for some  $r, 1 \leq r \leq 2$ ). The objective of this problem is to order facilities in two rows with the aim of minimizing a cost function of the x-distances between facilities.

PROP has a number of practical applications, including arrangement of facilities along two parallel straight lines on a floor plan and in the construction of multi-floor buildings (Amaral, 2013b). Figure 1 shows arrangement of facilities along two parallel straight lines on a floor plan (Amaral, 2013b).

**Table 1. Review of studies on facility layouts**

| <b>Problem</b>                | <b>Method</b>   | <b>Researchers</b>  |
|-------------------------------|---|---|
| SRFLP                         | Mixed integer programming   | Love & Wong (1976), Amaral (2006a), Amaral (2008)   |
|                               | Nonlinear programming   | Heragu & Kusiak (1991)  |
|                               | Dynamic programming   | Picard & Queyranne (1981), Kouvelis & Chiang (1996)   |
|                               | Cutting planes  | Amaral (2009)   |
|                               | Semidefinite programming (SDP)  | Anjos et al. (2005), Anjos & Vannelli (2008), Anjos & Yen (2009), Hungerländer & Rendl (2013)       |
|                               | Branch and Bound  | Simmons (1969)  |
|                               | Branch and Cut  | Amaral & Letchford (2013)   |
|                               | Path Relinking  | Kothari & Ghosh (2012a)   |
|                               | Greedy Search   | Kumar et al. (1995), Djellab & Gourgand (2001)  |
|                               | Local Search  | Palubeckis (2015)   |
|                               | Lin-Kernighan   | Kothari & Ghosh (2013b)   |
|                               | Simulated Annealing   | Romero & Sanchez-Flores (1990), Heragu & Alfa (1992), de Alvarenga et al. (2000), Palubeckis (2017) |
|                               | Tabu Search   | de Alvarenga et al. (2000), Samarghandi & Eshghi (2010), Kothari & Ghosh (2013c)                    |
|                               | Ant Colony Optimization   | Solimanpur et al. (2005)  |
|                               | Scatter Search  | Satheesh Kumar et al. (2008), Kothari & Ghosh (2014c), Akbari & Maadi (2011), Kunlei et al. (2011)  |
|                               | Particle Swarm Optimization   | Samarghandi et al. (2010)   |
|                               | Genetic Algorithm   | Ficko et al. (2004), Datta et al. (2011), Kothari & Ghosh (2014b)                                   |
|                               | Cuckoo Optimization Algorithm   | Maadi et al. (2016)   |
| Forest Optimization algorithm | Maadi et al. (2016)   |   |
| Hybrid Algorithms             | Braglia (1996), Teo & Ponnambalam (2008), Ozcelik (2012), Guan & Lin (2016) |   |

Continue Table 1. Review of studies on facility layouts

| Problem | Method                         | Researchers   |
|---------|--------------------------------|---|
| DRLP    | Mixed integer programming      | Chung & Tanchoco (2010), Zhang & Murray (2012), Amaral (2013a), Anjos et al. (2016) |
|         | Semidefinite programming (SDP) | Anjos et al. (2016)   |
|         | Local Search                   | Murray et al. (2013)  |
|         | Hybrid Algorithms              | Zuo et al. (2014)   |
|         | Mixed integer programming      | Amaral (2012)   |
| CAP     | Simulated Annealing            | Ahonen et al. (2014)  |
|         | Tabu Search                    | Ahonen et al. (2014)  |
|         | Scatter Search                 | Ghosh & Kothari (2012)  |
|         | Hybrid Algorithms              | Ghosh & Kothari (2012)  |
| PROP    | Mixed integer programming      | Amaral (2013b)  |

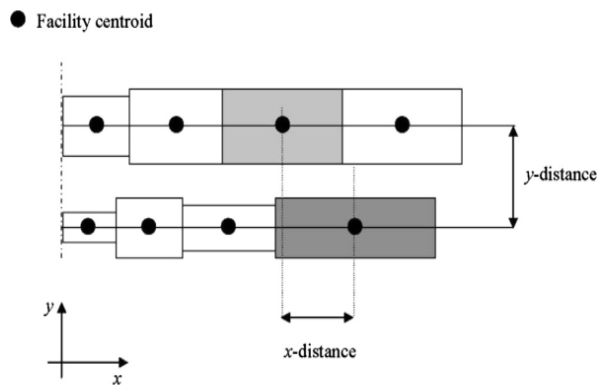


Fig. 1. Arrangement of facilities along two parallel straight lines on a floor plan (Amaral, 2013b)

Moreover, the difference between PROP, DRLP, and CAP is investigated. In DRLP and CAP, facilities are arranged along two parallel rows, in contrast to PROP, they are not restricted to any row. A difference between DRLP, CAP, and PROP is that PROP and CAP assume that the arrangement in both rows starts from a common point and no space is permitted between two adjacent facilities, while the DRLP does not make such assumptions. Also, in DRLP the distance between two rows is set to zero while it is not true in PROP and CAP.

As it can be seen in Table 1, only one paper about PROP has been presented in the literature, while this paper introduces the PROP and

provides a mixed integer programming (MIP) model that is able to solve small instances of PROP up to 23 facilities. In this paper, instances are commonly used as benchmark instances for the SRFLP to perform computational experiments. Using CPLEX12.4, the proposed MIP solves all instances to optimality.

Because PROP is an NP-hard problem, like other multi-row facility layout problems, to solve medium and large instances, a meta-heuristic algorithm is needed, so this paper can be a starting point to apply meta-heuristic algorithms to solve medium and large instances of PROP.

GA and SA as two basic and efficient meta-heuristic algorithms have shown great performance to solve different facility layout problems including SRFLP. In the literature, different GA and SA based algorithms are applied to solve SRFLP, so that these algorithms have shown better performance in comparison to other meta-heuristic algorithms of the literature. Hence, in this paper a genetic algorithm and a novel population based simulated annealing algorithm are proposed to solve medium and large instances of the PROP.

The rest of paper is organized as follows: In problem description section, the problem is described. Then, in meta-heuristic algorithms section proposed algorithms based on genetic algorithm and a novel population based simulated annealing algorithm are presented. In computational experiments section the proposed algorithms are evaluated on various instances with different sizes of  $n \leq 23$  and  $n > 23$  followed by the conclusions of the paper in *conclusion*.

## Problem Description

In this section, we describe the mixed integer programming formulation of the PROP proposed by Amaral (2013b).

The parameters and indices are:

|           |   |
|-----------|---|
| $n$       | Number of facilities  |
| $t$       | Number of facilities with some characteristic in common                           |
| $N_1$     | The set of first row facilities; $N_1 = \{1, \dots, t\}$                          |
| $N_2$     | The set of second row facilities; $N_2 = \{t + 1, \dots, n\}$                     |
| $N$       | The set of all facilities; $N = N_1 \cup N_2$                                     |
| $i, j, k$ | Index for facilities  |
| $\ell_i$  | The length of facility $i$ ; $i \in N$  |
| $c_{ij}$  | The average daily traffic (or flow) between facilities $j$ and $i$ ; $i, j \in N$ |

We need the following vectors:

- A vector  $a^1 = (a_{i,j}^1)_{i,j \in N_1; i < j}$  such that  $a_{i,j}^1 = 1$  when facility  $i$  is to the left of facility  $j$  and  $a_{i,j}^1 = 0$  otherwise.
- A vector  $a^2 = (a_{i,j}^2)_{i,j \in N_2; i < j}$  such that  $a_{i,j}^2 = 1$  when facility  $i$  is to the left of facility  $j$  and  $a_{i,j}^2 = 0$  otherwise.
- A vector  $d^1 = (d_{i,j}^1)_{i,j \in N_1; i < j}$  such that  $d_{i,j}^1$  represents the x-distance between the centers of two facilities  $i$  and  $j$  placed at Row 1.
- A vector  $d^2 = (d_{i,j}^2)_{i,j \in N_2; i < j}$  such that  $d_{i,j}^2$  represents the x-distance between the centers of two facilities  $i$  and  $j$  placed at Row 2.
- A vector  $d^{1,2} = (d_{i,j}^{1,2})_{i \in N_1, j \in N_2; i < j}$  such that  $d_{i,j}^{1,2}$  represents the x-distance between the centers of facility  $i$  placed at Row 1 and facility  $j$  placed at Row 2.

Define the following polytopes:

$$H_t^1 = \left\{ a^1 \in \mathbb{R}_+^t : \begin{aligned} a_{i,j}^1 + a_{j,k}^1 - a_{i,k}^1 &\leq 1 & (i, j, k \in N_1; i < j < k) \\ -a_{i,j}^1 - a_{j,k}^1 + a_{i,k}^1 &\leq 0 & (i, j, k \in N_1; i < j < k) \end{aligned} \right\}$$

$$H_{n-t}^2 = \left\{ a^2 \in \mathbb{R}_+^{n-t} : \begin{aligned} a_{i,j}^2 + a_{j,k}^2 - a_{i,k}^2 &\leq 1 & (i, j, k \in N_2; i < j < k) \\ -a_{i,j}^2 - a_{j,k}^2 + a_{i,k}^2 &\leq 0 & (i, j, k \in N_2; i < j < k) \end{aligned} \right\}$$

$$D_t^1 = \left\{ d^1 \in \mathbb{R}_+^t : d_{i,j}^1 \geq \frac{(\ell_i + \ell_j)}{2} \quad (i, j \in N_1; i < j) \right\}$$

$$D_{n-t}^2 = \left\{ d^2 \in \mathbb{R}_+^{n-t} : d_{i,j}^2 \geq \frac{(\ell_i + \ell_j)}{2} \quad (i, j \in N_2; i < j) \right\}$$

Then, a mixed integer programming formulation of the PROP is given by:

$$\text{Minimize } \left\{ \sum_{i,j \in N_1, i < j} c_{ij} d_{ij}^1 + \sum_{i,j \in N_2, i < j} c_{ij} d_{ij}^2 + \sum_{i \in N_1, j \in N_2; i < j} c_{ij} d_{ij}^{1,2} \right\} \quad (1)$$

$$\text{s.t. } d_{i,j}^1 \geq \left( \begin{aligned} &\sum_{1 \leq k < i} \ell_k a_{k,i}^1 + \sum_{i \leq k < t} \ell_k (1 - a_{i,k}^1) \\ &- \sum_{1 \leq k < j} \ell_k a_{k,j}^1 - \sum_{j \leq k < t} \ell_k (1 - a_{j,k}^1) + \frac{(\ell_i - \ell_j)}{2} \end{aligned} \right) \quad (i, j \in N_1; i < j) \quad (2)$$

$$d_{i,j}^1 \geq \left( \begin{aligned} &- \sum_{1 \leq k < i} \ell_k a_{k,i}^1 - \sum_{i \leq k < t} \ell_k (1 - a_{i,k}^1) \\ &+ \sum_{1 \leq k < j} \ell_k a_{k,j}^1 + \sum_{j \leq k < t} \ell_k (1 - a_{j,k}^1) + \frac{(\ell_j - \ell_i)}{2} \end{aligned} \right) \quad (i, j \in N_1; i < j) \quad (3)$$

$$d^1 \in D_t^1 \quad (4)$$

$$a^1 \in H_t^1 \quad (5)$$

$$a_{i,j}^1 \in \{0,1\} \quad (i, j \in N_1; i < j) \quad (6)$$

$$d_{i,j}^2 \geq \left( \begin{array}{c} \sum_{t \leq k < i} \ell_k a_{k,i}^2 + \sum_{i \leq k < n} \ell_k (1 - a_{i,k}^2) \\ - \sum_{t \leq k < j} \ell_k a_{k,j}^2 - \sum_{j \leq k < n} \ell_k (1 - a_{j,k}^2) + \frac{(\ell_i - \ell_j)}{2} \end{array} \right) (i, j \in N_2; i < j) \quad (7)$$

$$d_{i,j}^2 \geq \left( \begin{array}{c} - \sum_{t \leq k < i} \ell_k a_{k,i}^2 - \sum_{i \leq k < n} \ell_k (1 - a_{i,k}^2) \\ + \sum_{t \leq k < j} \ell_k a_{k,j}^2 + \sum_{j \leq k < n} \ell_k (1 - a_{j,k}^2) + \frac{(\ell_j - \ell_i)}{2} \end{array} \right) (i, j \in N_2; i < j) \quad (8)$$

$$d^2 \in D_{n-t}^2 \quad (9)$$

$$a^2 \in H_{n-t}^2 \quad (10)$$

$$a_{i,j}^2 \in \{0,1\} \quad (i, j \in N_2; i < j) \quad (11)$$

$$d_{i,j}^{1,2} \geq \left( \begin{array}{c} \sum_{1 \leq k < i} \ell_k a_{k,i}^1 + \sum_{i \leq k < t} \ell_k (1 - a_{i,k}^1) \\ - \sum_{t \leq k < j} \ell_k a_{k,j}^2 - \sum_{j \leq k < n} \ell_k (1 - a_{j,k}^2) + \frac{(\ell_i - \ell_j)}{2} \end{array} \right) (i \in N_1, j \in N_2; i < j) \quad (12)$$

$$d_{i,j}^{1,2} \geq \left( \begin{array}{c} - \sum_{1 \leq k < i} \ell_k a_{k,i}^1 - \sum_{i \leq k < t} \ell_k (1 - a_{i,k}^1) \\ + \sum_{t \leq k < j} \ell_k a_{k,j}^2 + \sum_{j \leq k < n} \ell_k (1 - a_{j,k}^2) + \frac{(\ell_j - \ell_i)}{2} \end{array} \right) (i \in N_1, j \in N_2; i < j) \quad (13)$$

Constraints (2) and (3) specify the x-distances between facilities of Row 1. In Row 2, Constraints (7) and (8) determine the x-distances between pairs of facilities and x-distances between two facilities from different rows are calculated in Constraints (12) and (13). In above formulations, Constraints (4) and (9) fortify that, minimum x-distance between two facilities in one row equals the sum of their half-lengths. Constraints (5) and (6) guarantee that for Row 1,  $a^1$  is an incidence vector of a linear ordering, while Constraints (10) and (11) guarantee that for Row 2,  $a^2$  is an incidence vector of a linear ordering.

The important point about this problem is that because the ordering of the PROP is only in one dimension of x-axis, the other components



of distance do not change between any two facilities. In fact, only x-distance between facilities is regarded in PROP.

### Meta-Heuristic Algorithms

Regarding applications of different meta-heuristic algorithms in different areas of problem optimization such as inventory control (Orand et al., 2015), design of integrated logistics network (Yadegari et al., 2015), project scheduling (Zareei & Hassan-Pour, 2015), and parcel delivery services (Bahrami et al., 2016), in this section two proposed meta-heuristic algorithms including Genetic Algorithm (GA) and a Population-based Simulated Annealing (PSA) algorithm are applied to solve PROP. In solution representation section, the representation used for solutions of two algorithms is explained; then, to generate different and efficient initial population of two proposed algorithms, two procedures are introduced in generating initial population section. After that, two proposed algorithms are described in proposed genetic algorithm section and proposed population-based simulated annealing algorithm section.

### Solution Representation

In this paper, a solution is represented by a vector of  $n$  elements that first  $t$  elements are related to facilities which should be arranged in the first row and the next  $(n-t)$  elements represent the facilities that should be placed in the second row. The representation of a solution of two algorithms with  $n=10$  and  $t= \lfloor \frac{n}{3} \rfloor = 3$  is depicted in Figure 2.

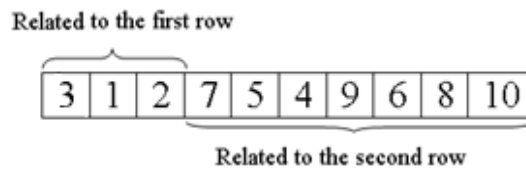


Fig. 2. A solution representation

It is notable that in PROP, at the beginning, the first  $t$  facilities (facilities 1, 2 ...  $t$ ) should be selected, hence, these  $t$  facilities cannot be exchanged with facilities in the parallel row and only their ordering

can be permuted in the first row. Thus, other  $n-t$  facilities are restricted to the parallel row and only their ordering can be changed in PROP to achieve a better solution. Regarding solution representation, the cost function of two proposed algorithms is Equation (1).

### Generating Initial Population

To generate initial population of two algorithms, two procedures are applied in this paper. In the first procedure, using Theorem 1 of the paper of Samarghandi and Eshghi (2010) to solve SRFLP, one solution is created. In this theorem for sorting  $n$  facilities in a single row, it is assumed that the cost function coefficients of the problem are constant numbers ( $c_{ij}=c$ ). now for a number of facilities such as facilities number 1 to number  $n$ , if we sort them in non-descending order in a way that the shortest facility is denoted by 1 and the longest one by  $n$ , the optimal solution when  $n$  is an odd number is shown in Figure 3 and for an even number of  $n$  the optimal solution is displayed in Figure 4. Using this theorem for two rows of the problem separately, a solution is created. The second procedure is randomly generating permutations in each row. In this procedure, at the beginning, the first  $t$  facilities are selected and laid in random ordering in the first  $t$  elements of a solution array and then other  $n-t$  facilities are randomly placed in the remaining elements of the solution. Using random permutation strategy to generate initial population guarantees a good diversification of the initial solutions. These two procedures assure that the search initiates in feasible space. Using two procedures, the initial population is created and the operators of algorithms can be started.

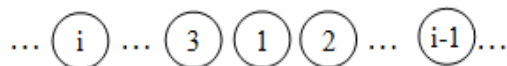


Fig. 3. Optimal solution for odd number of  $n$



Fig. 4. Optimal solution for even number of  $n$

### **Proposed Genetic Algorithm**

Genetic algorithm (GA) is a stochastic search technique that is based on the concept of the survival of the fittest according to the Darwinian Evolution theory (Goldberg & Holland, 1988; Deb & Kalyanmoy, 2001). In GA, after defining solution representation which is named chromosome or individual and generating the initial population, this population is evolved over iterations using the main genetic operators such as selection, crossover and mutation. The central part of our GA implementation consists of selection, crossover and mutation operators on population that is generated using the method explained in the section above. Roulette wheel selection that is a fitness proportionate selection is used to select potentially useful solutions for recombination. A crossover operator with some crossover probability produces offspring individuals using the selected parent individuals. A mutation operator also explores the neighborhood of an offspring individual with another rate of mutation probability. Using these operators, the process of evolution of the population will be continued until some termination criteria are met. A predefined maximum number of iterations or the favorite amount of improvement in the cost function value are usually the termination criteria of the GA. For more information about GA, readers are referred to Goldberg et al. (1988). In the next sections the steps of proposed GA are described.

#### **Crossover operator**

Crossover is the operator of generating feasible offspring individuals using two parent individuals that are selected from the population. In proposed algorithm, because the first  $t$  facilities in the first row cannot be exchanged with other  $n-t$  facilities in the parallel row, one-point crossover operator is applied. In this operator regarding crossover rate, after selecting two parents using Roulette wheel selection method, the point of  $t$  is considered in two parents' arrays. The permutation of facilities in the first row of parent 1 and the permutation of facilities in the second row of parent 2 produce the array of the first offspring. The second offspring is created by the permutation of facilities in the second row of parent 1 and the permutation of facilities in the first

row of parent 2. Figure 5 shows the process of producing two offspring individuals in a problem with  $n=10$  and  $t = \lfloor \frac{n}{3} \rfloor$ .

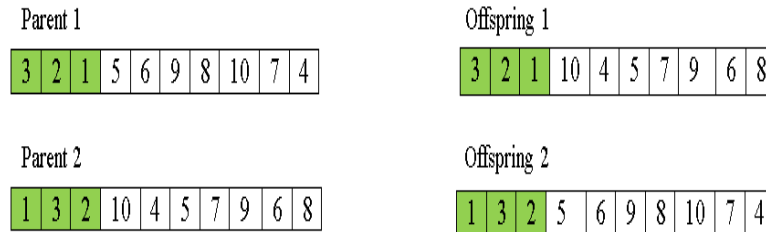


Fig. 5. Crossover operator

### Mutation operator

Mutation operator in GA is used to hold the genetic diversity of population in all generations of the algorithm. In proposed GA, at first with regard to mutation rate, some offspring solutions are selected. In our proposed mutation operator for each solution, two new solutions are obtained as follows: Assuming one solution, initially two random facilities in the first row are swapped and a new solution is generated without any change in the ordering of the facilities in the parallel row, then another solution is created by swapping two random facilities in the parallel row without any change in ordering of facilities in the first row. New solutions that are feasible solutions are added to the population. In Figure 6, the performance of mutation operator is shown with an example.

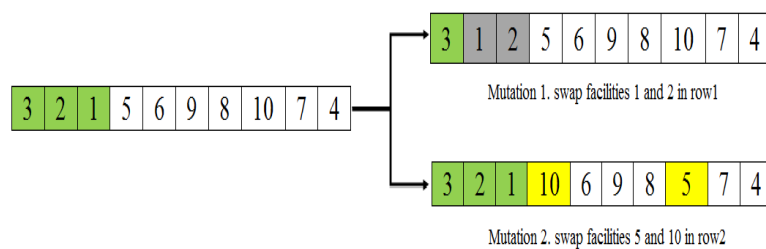


Fig. 6. Mutation operator

After an iteration of algorithm, the best solutions are selected as the population of the next iteration until the termination condition is met. The termination condition of proposed algorithm is considered as the

number of iterations as a parameter of the algorithm. The pseudo-code of proposed GA to solve PROP is described in Algorithm1.

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**Algorithm.1** Proposed GA
 

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**Input:** number of facilities ( $n$ ), ( $t$ ), Flow matrix ( $F$ ), Facilities length matrix ( $L$ ), population size ( $N$ ), maximum number of iterations (maxiter), mutation rate ( $p_m$ ) and crossover rate ( $p_c$ ).

**Output:** An approximation of an optimal solution to the PROP instance.

Compute  $ncross = 2 \times \lfloor p_c \times N/2 \rfloor$ ,  $nmut = 2 \times p_m \times ncross$

Create the initial population  $init\ pop$  of  $N$  individuals by using section 3.2.

Set the current population  $pop \leftarrow init\ pop$ .

Compute fitness ( $i$ ) for each  $i \in pop$

Find best fitness and its chromosome.

for  $i=0$  to maxiter

  for  $j=0$  to  $ncross$

$P_1, P_2 =$  select parents ( $pop$ )

    Offspring ( $j$ ): permutation of facilities in the first row of  $P_1$  and permutation of facilities in the second row of  $P_2$ .

    Offspring ( $j+1$ ): permutation of facilities in the second row of  $P_2$  and permutation of facilities in the first row of  $P_1$ .

$j=j+2$

  End

  for  $k=0$  to  $nmut$

    Select a random individual from Offspring individuals as  $O_1$

$Mut[k] \leftarrow O_1$

$Mut[k+1] \leftarrow O_1$

    Select two random numbers from  $\{0, 1 \dots t-1\}$  as  $t_1, t_2$

    Swap ( $t_1, t_2, Mut[k]$ )

    Select two random numbers from  $\{t, t+1 \dots n-1\}$  as  $t_1, t_2$

    Swap ( $t_1, t_2, Mut[k+1]$ )

$k=k+2$

  End

  Replace the current population ( $pop$ ) with the new population.

  Compute fitness ( $i$ ) for each  $i \in pop$  and sort chromosomes according their fitness.

  Select the best  $N$  chromosomes from  $pop$  and reduce the extended population.

  Update the best fitness and its chromosome.

$i=i+1$

End

Return the best chromosome.

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### Proposed Population-Based Simulated Annealing Algorithm

Simulated Annealing (SA) algorithm that is proposed by Kirkpatrick, Gellat, and Vecchi (1983), is an iterative and stochastic method inspired from the annealing process where the metal and other substances melt and then slowly cool to obtain a strong crystalline

structure. SA starts with an initial solution and subsequently may proceed to a neighboring solution by a random move, until the stopping conditions are met. In the literature, SA has attracted the consideration of many researchers because of not getting stuck in a local minimal by accepting worse solutions. For more information about SA, readers are referred to the paper of van Laarhoven and Aarts (1987), and Kirkpatrick, Gellat, and Vecchi (1983).

The proposed PSA algorithm starts with an initial population of solutions in contrast to regular SA algorithm that starts with one solution. Because PROP is a permutation based problem, with increase in the number of facilities, the solution space will be increased more and more. So searching for all solutions of the medium and large instances of PROP is not possible in reasonable time (We know in a PROP instance with  $n$  facilities,  $t! \times (n-t)$  different solutions can be created). Because of existing different proposed algorithms in the literature to solve facility layout problems, the initial solutions of proposed algorithms are produced randomly using uniform distribution in order to better search for the solution space. This process in different papers is introduced as the best method to produce initial solutions in different types of facility layout problems such as Hosseini-Nasab and Emami (2013), Kunlei et al. (2011), and Samarghandi et al. (2010). Also, it helps to get rid of local optimums. In addition, another method that has been introduced to produce efficient initial solutions in SRFLP is applied to solve PROP.

Like proposed GA, proposed PSA algorithm starts with generating initial population according to the section Generating Initial Population. After that, for each solution of the population, a number of solutions are produced using neighborhood structure. In this process for each solution,  $M$  neighboring solutions are generated that  $M$  is a parameter of proposed PSA algorithm and should be determined. Regarding SA mechanism, new produced solutions are accepted or rejected. In neighborhood structure to perform new neighboring solutions, the swap operator is used as follows: Consider a solution with  $t$  facilities in the first row and  $n-t$  facilities in the parallel row. To generate one new solution, at first one row is selected randomly and

two random facilities of the row are swapped. This process will be continued until  $M$  new solutions are generated. After producing neighboring solutions, SA mechanism is started. The pseudo-code of the proposed PSA algorithm is described in Algorithm 2.

SA algorithm begins with initial high temperature of  $T_0$  and gradually reduces until final temperature of  $T_f$ , through cooling process.  $T_0$  and  $T_f$  are parameters of the SA. The initial temperature should be high enough to allow a move to any neighboring solution and not a very high temperature that makes the search process inefficient. The final temperature is usually set to zero. In this paper, we use a linear cooling schedule, which updates temperature at each time using the expression of  $T_i = \alpha \times T_{i-1}$ , which  $\alpha$  is another parameter of SA that is cooling ratio. In proposed algorithm, the initial temperature ( $T_0$ ) is considered the cost function value of the worst solution among initial population and  $T_f$  is set to zero. Such as proposed GA, the stopping condition of proposed PSA algorithm is considered as the number of iterations as a parameter of the algorithm.

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**Algorithm 2 Proposed PSA algorithm**


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**Input:** number of facilities ( $n$ ), ( $t$ ), Flow matrix ( $F$ ), Facilities length matrix ( $L$ ), population size ( $N$ ), maximum number of iterations (maxiter), number of neighborhoods ( $M$ ) and Cooling rate ( $\alpha$ ).

**Output:** An approximation of an optimal solution to the PROP instance.

Create the initial population (*init pop*) of  $N$  individuals by using section 3.2.

Set the current population  $pop \leftarrow init\ pop$ .

Compute fitness ( $i$ ) for each  $i \in pop$ .

Find the worst fitness value and copy that to temp//initial temperate

for  $i=0$  to maxiter

  for  $j=0$  to  $N$

$S \leftarrow pop(j)$

$f \leftarrow fitness(S)$

    for  $k=0$  to  $M$  do

$S' \leftarrow S$

      Generate a random number from  $\{0, 1\}$  as *rand*

      if (*rand* = 0)

        Select two random numbers from  $\{0, 1 \dots t-1\}$  as  $t_1, t_2$

        Swap ( $t_1, t_2, S'$ )

      else

        Select two random numbers from  $\{t, t+1 \dots n-1\}$  as  $t_1, t_2$

        Swap ( $t_1, t_2, S'$ )

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```

    End
    f' ← Compute fitness(S')
    if (f' < f)
        S ← S'
    else
        Generate a random number from [0, 1] as r
        if (exp(-(f' - f)/temp) > r)
            S ← S'
        End
    End
    End
    k=k+1
End
j=j+1
End
Update the best solution.
temp= α ×temp
i=i+1;
End
Return the best solution.

```

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## Computational Experiments

The performances of proposed genetic and simulated annealing algorithms are evaluated on several instances in different sizes available in the literature. These two proposed algorithms are implemented in C# and are run on an Intel (R) core (TM) i5-3210 CPU @ 2.5 Gigahertz and 4.00 Gigabytes ram under the Windows 8.1 Operating system.

All PROP instances look like SRFLP instances with an additional parameter  $t$  (Amaral, 2013b). In fact, a PROP instance is composed of the following data: A positive integer of  $n$ , vector of positive integers  $(l_i)_{i=1,2,\dots,n}$  that  $l_i$  is the length of the facility  $i$ , symmetric cost matrix of non-negative integers  $(c_{ij})_{i=1,2,\dots,n,j=1,2,\dots,n}$ , and an integer number in  $[1, n-1]$  as  $t$ .

The instances used in this paper are divided into two general groups. The first group that is related to the instances with size  $n \leq 23$  has optimal solution. These instances are introduced and solved by Amaral (2013b)<sup>1</sup>. Another group of instances with size  $23 < n \leq 70$  does not have optimal solution and is tested in this paper for the first time.

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1. The instances are available at <http://www.gerad.ca/files/sites/Anjos/flplib.html>



All instances used in this group to test the PROP were originally used in the literature to test SRFLP and are available at the mentioned site. For each instance, both algorithms are run 20 times. Now, the results of experiments on different instances in different sizes are described separately.

### Instances with Size $n \leq 23$

First, the proposed algorithms are tested on instances in the literature with size  $n \leq 23$ . As said before, these instances were solved using a MIP model in the paper of Amaral (2013b) and have optimal solutions group, Instance s11 is from Simmons (1969), Am15 is from Amaral (2006), and others are from Amaral (2013b). Throughout the experiments, the parameter values that are used for GA and PSA algorithm in this group of instances are as follows respectively: For GA, the initial population is set to 50. The crossover and mutation rates are considered 0.9 and 0.2. The maximum number of iterations is determined as 100. In PSA, the initial population is set to 5. The number of neighborhood solutions is set to 20. Maximum number of iterations is determined as 100 and  $\alpha=0.85$ . For instances of this group, two proposed algorithms were able to obtain the optimal solution of all the instances that are introduced by Amaral (2013b). In addition, the proposed algorithms consume less time to obtain optimal solution in comparison to the MIP model of Amaral (2013b). In Figure 7, Figure 8, Figure 9 and Figure 10 computational time of two proposed algorithms for different parameters of  $t$  are compared.

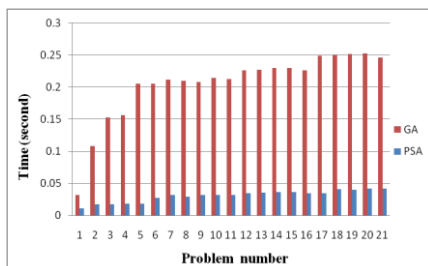


Fig. 7. Comparison of computational time of proposed GA and PSA algorithm on instances with size  $n \leq 23$  and  $t = \lceil \frac{n}{2} \rceil$

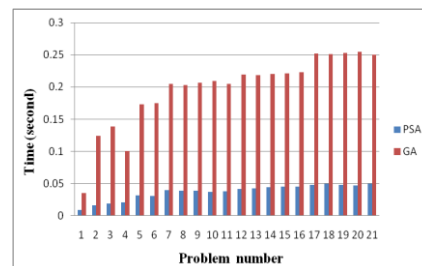


Fig. 8. Comparison of computational time of proposed GA and PSA algorithm on instances with size  $n \leq 23$  and  $t = \lceil \frac{n}{3} \rceil$

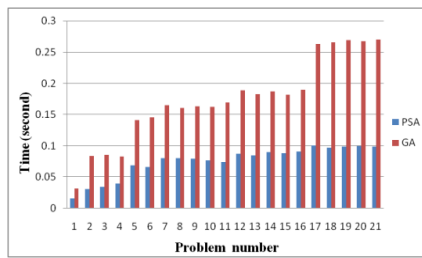


Fig. 9. Comparison of computational time of proposed GA and PSA algorithm on instances with size  $n \leq 23$  and  $t = \lfloor \frac{n}{4} \rfloor$

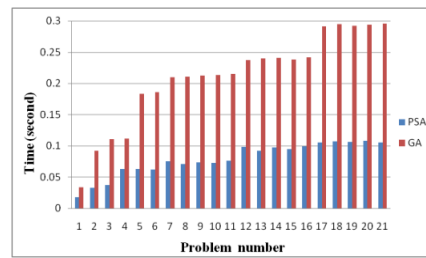


Fig. 10. Comparison of computational time of proposed GA and PSA algorithm on instances with size  $n \leq 23$  and  $t = \lfloor \frac{n}{5} \rfloor$

Obtaining the optimal solution for all instances of this group in a reasonable and short computational time is a sign of the ability of two proposed algorithms to solve different PROP instances, so it seems that these algorithms can be useful to solve real large instances.

### Instances with Size $23 < n \leq 70$

Next, the performance of genetic and population-based simulated annealing algorithms are evaluated on the second group of instances with  $n=30, 40, 56, 60$  and  $70$  and parameter  $t = \lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{3} \rfloor, \lfloor \frac{n}{4} \rfloor$  and  $\lfloor \frac{n}{5} \rfloor$ . As said before, the optimal solution of these instances are not in the literature and these instances are solved in this paper for the first time. In this group, instances with  $n=30$  are from Anjos and Vannelli (2008), instances with  $n=40$  are from Hungerländer and Rendl (2013), instances with  $n=56$  are from Anjos and Yen (2009), and instances with  $n=60$  and  $n=70$  are from Anjos et al. (2005). All instances of this group are solved with different values of parameter  $t$  consisting of  $\lfloor \frac{n}{2} \rfloor, \lfloor \frac{n}{3} \rfloor, \lfloor \frac{n}{4} \rfloor$  and  $\lfloor \frac{n}{5} \rfloor$ . For this group of instances, since the number of facilities is large, selection of appropriate values for proposed algorithm parameters is very important. Therefore, before solving this group of instances, using Taguchi method, parameters of proposed algorithms should be tuned. So in continue, at first, parameter tuning is applied to determine the parameters of two proposed algorithms, then, results of applying proposed algorithms to solve this group of instances are described.

## Parameter Tuning

The most experimental design to tune parameters of algorithms is Taguchi method. In this method, a large number of factors can be studied using a small number of required experiments (Phadke, 1989).

In Taguchi method, the factors of algorithm are divided into two main categories of controllable and noise factors. The aim of this method is minimizing the effect of noise factors and determining the optimal level of controllable factors. To measure the stability of a process, the signal-to-ratio is used in this method (Hsu, 2012). Here the term signal denotes the desirable value (mean response variable) and noise signifies the undesirable value (standard deviation). The formulation of  $S/N$  estimates how samples deviate from the center of population and maximizing the  $S/N$  ratio is the aim (Tang et al., 2012). The formula of calculation of  $S/N$  is related to the objective function of the problem. In the Taguchi approach, the objective functions are classified into three groups of the smaller-the-better type, the nominal-the-best type and the larger-the-better type. As the objective function of PROP is categorized in the smaller-the better type, related  $S/N$  ratio is calculated as follows:

$$S/N \text{ ratio} = -10 \log_{10} \left[ \frac{1}{N} \sum_{i=1}^N Y_i^2 \right] \quad (14)$$

In Equation (2),  $Y_i^2$  denotes the response value (the value of objective function) of the  $i$ th instance. In what follows, at first, the levels of the factors are introduced, then, after considering one instance, Taguchi method will be executed to determine the best level of each factor. The proposed algorithms include four factors. Table 2 shows the considered levels for these four factors for PSA algorithm and Table 3 Shows this information for GA. The number of degrees of freedom should be determined at first in order to select an appropriate orthogonal array, so the total degree of freedom is set to eight; therefore, the appropriate array must have at least eight trials. We apply the array  $L_9$  for the experiments.

Table 2. The controlled factors of PSA and their levels

| factors   | Description                              | Level 1 | Level 2 | Level 3 |
|-----------|--|---------|---------|---------|
| Init_sol  | Number of Initial Solutions              | 10      | 20      | 30      |
| Num_neigh | Number of neighborhood of each solutions | 20      | 30      | 40      |
| Alpha     | The Cooling ratio                        | 0.85    | 0.9     | 0.95    |
| Maxiter   | maximum number of iterations             | 100     | 200     | 300     |

Table 3. The controlled factors of GA and their levels

| factors    | Description                   | Level 1 | Level 2 | Level 3 |
|------------|-------------------------------|---------|---------|---------|
| Init_pop   | Number of Initial populations | 50      | 100     | 150     |
| Cross_rate | The Crossover rate            | 0.7     | 0.8     | 0.9     |
| Mut_rate   | The Mutation rate             | 0.05    | 0.1     | 0.15    |
| Maxiter    | maximum number of iterations  | 150     | 300     | 450     |

We use instances with 70 facilities for the experiments. The objective function should be transformed into its average relative percentage deviation (RPD) to calculate the performance of algorithm. PRD is defined as follow:

$$RPD_i = \frac{1}{M} \left[ \frac{\sum_{j=1}^J \frac{cost_{ij} - LB_i}{LB_i}}{J} \right] \quad (15)$$

where  $J$  is number of replication,  $M$  is number of instance in each group (is equal to 5),  $cost_{ij}$  is the total cost obtained for instance  $i$  in replication  $j$  and  $LB_i$  is the minimum total cost obtained for instance  $i$ . Because of the optimal values of instance is not known,  $LB_i$  is equal to the best total cost obtained by the proposed algorithms for instance  $i$ . The results are transformed into  $S/N$  ratio according to the formula presented below:

$$S/N \text{ ratio} = \eta_k = -10 \log_{10} \left[ \frac{1}{N} \sum_{i=1}^N RPD_i^2 \right] \quad (16)$$

where  $\eta_k$  is the  $S/N$  ratio for trial  $k$  and is number of objective functions (is equal to 4 for  $t = \begin{bmatrix} n \\ 2 \end{bmatrix}, \begin{bmatrix} n \\ 3 \end{bmatrix}, \begin{bmatrix} n \\ 4 \end{bmatrix}$  and  $\begin{bmatrix} n \\ 5 \end{bmatrix}$ ). Table 4 shows the orthogonal array  $L_9$  and the RPD for each trial and  $S/N$  ratio for each experimental trial for PSA and Table 5 shows this information for GA.

In these tables  $RPD_1$  for  $t = \begin{bmatrix} n \\ 2 \end{bmatrix}$ ,  $RPD_2$  for  $t = \begin{bmatrix} n \\ 3 \end{bmatrix}$ ,  $RPD_3$  for  $t = \begin{bmatrix} n \\ 4 \end{bmatrix}$ ,  $RPD_4$  for  $t = \begin{bmatrix} n \\ 5 \end{bmatrix}$ . For each level of control factors, the S/N ratio is averaged and its value is plotted against each control factor of PSA algorithm in Figure 11. Also, Figure 12 shows this information for GA. Since, the aim is to maximize the S/N ratio, the level with highest S/N ratio is selected as the best level.

Table 4.  $L_9$  Orthogonal array and data for the screen experiment (PSA Algorithm)

| Init_sol | Num_neigh | Alpha | Maxiter | $RPD_1$ | $RPD_2$ | $RPD_3$ | $RPD_4$ | SNRA1   |
|----------|-----------|-------|---------|---------|---------|---------|---------|---------|
| 10       | 20        | 0.85  | 100     | 0.0123  | 0.0116  | 0.0167  | 0.0197  | 36.2330 |
| 10       | 30        | 0.90  | 200     | 0.0039  | 0.0022  | 0.0012  | 0.0026  | 51.5341 |
| 10       | 40        | 0.95  | 300     | 0.0042  | 0.0017  | 0.0004  | 0.0005  | 52.7949 |
| 20       | 20        | 0.90  | 300     | 0.0015  | 0.0008  | 0.0006  | 0.0012  | 59.3494 |
| 20       | 30        | 0.95  | 100     | 0.1386  | 0.1270  | 0.1341  | 0.1418  | 17.3612 |
| 20       | 40        | 0.85  | 200     | 0.0017  | 0.0003  | 0.0006  | 0.0006  | 60.3019 |
| 30       | 20        | 0.95  | 200     | 0.0041  | 0.0044  | 0.0049  | 0.0084  | 44.8842 |
| 30       | 30        | 0.85  | 300     | 0.0008  | 0.0004  | 0.0002  | 0.0001  | 66.6012 |
| 10       | 20        | 0.85  | 100     | 0.0123  | 0.0116  | 0.0167  | 0.0197  | 36.2330 |

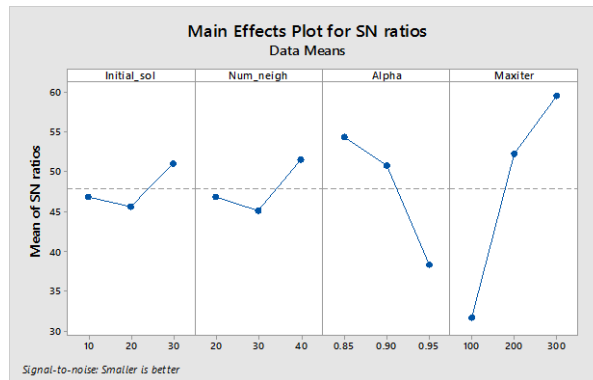


Fig. 11. The mean S/N ratio plot for PSA algorithm

Table 5.  $L_9$  orthogonal array and data for the screen experiment (GA)

| Init_pop | Cross_rate | Mut_rate | Maxiter | $RPD_1$ | $RPD_2$ | $RPD_3$ | $RPD_4$ | SNRA1   |
|----------|------------|----------|---------|---------|---------|---------|---------|---------|
| 50       | 0.7        | 0.05     | 150     | 0.07504 | 0.07105 | 0.07847 | 0.01630 | 23.6902 |
| 50       | 0.8        | 0.10     | 300     | 0.03015 | 0.01958 | 0.02042 | 0.02510 | 32.3300 |
| 50       | 0.9        | 0.15     | 450     | 0.02017 | 0.01416 | 0.01555 | 0.01351 | 35.8861 |
| 100      | 0.7        | 0.10     | 450     | 0.02238 | 0.01524 | 0.00829 | 0.01493 | 35.9144 |
| 100      | 0.8        | 0.15     | 150     | 0.02859 | 0.02024 | 0.02494 | 0.02534 | 32.0570 |
| 100      | 0.9        | 0.05     | 300     | 0.02534 | 0.01968 | 0.02274 | 0.02290 | 32.8587 |
| 150      | 0.7        | 0.15     | 300     | 0.02601 | 0.01627 | 0.01328 | 0.01175 | 35.0314 |
| 150      | 0.8        | 0.05     | 450     | 0.01992 | 0.01376 | 0.01624 | 0.01566 | 35.6236 |
| 150      | 0.9        | 0.10     | 150     | 0.02749 | 0.01795 | 0.02866 | 0.02623 | 31.8922 |

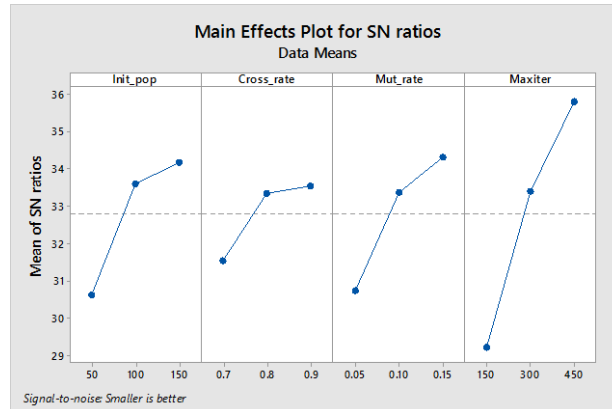


Fig. 12. The mean S/N ratio plot for GA algorithm

### Results of applying two proposed algorithms on instances with size $23 < n \leq 70$

After setting the parameters, for evaluation of the proposed algorithms to solve this group of instances, the relative percentage deviation (RPD) is computed as follows:

$$RPD_i = \frac{\sum_{j=1}^J \frac{cost_{ij} - LB_i}{LB_i}}{J} \times 100 \quad (17)$$

where  $J$  is number of replication (is equal to 20),  $cost_{ij}$  is the total cost obtained for instance  $i$  in replication  $j$  and  $LB_i$  is equal to the best total cost obtained by the proposed algorithms for instance  $i$ .

Tables 6, 7, 8 and 9 show the evaluation of two proposed algorithms on instances of this group with different values of  $t$  in both terms of cost function value and computational time as well as RPD. In these tables, values in bold-face indicate the best found cost function value for an instance. Regarding these tables, it can be observed that for instances with size  $n=30$ , the best obtained cost function values of two algorithms in all tables are equal. Whereas the computational time of PSA algorithm is less than GA in all instances. As for other instances of this group, it can be seen that in PSA algorithm almost the value of cost function of all instances is equal or less than GA, and proposed PSA algorithm has better performance

rather than GA in both aspects of cost function value and computational time.

Table 6. GA and PSA algorithm results on instances with size  $23 < n \leq 70$  and  $t = \lfloor \frac{n}{2} \rfloor$

| Problem name | Num. of facilities | GA result      | GA time(s) | GA RPD | PSA result       | PSA time(s) | PSA RPD |
|--------------|--------------------|----------------|------------|--------|------------------|-------------|---------|
| N30_1        | 30                 | <b>4174</b>    | 5.515      | 2.5874 | <b>4174</b>      | 2.832       | 0.047   |
| N30_2        | 30                 | <b>11154.5</b> | 5.613      | 2.1142 | <b>11154.5</b>   | 2.991       | 0.058   |
| N30_3        | 30                 | <b>23127</b>   | 5.099      | 1.7821 | <b>23127</b>     | 2.922       | 0.070   |
| N30_4        | 30                 | <b>32651.5</b> | 5.112      | 2.3318 | <b>32651.5</b>   | 2.944       | 0.089   |
| N30_5        | 30                 | <b>60353</b>   | 5.216      | 1.9521 | <b>60353</b>     | 3.001       | 0.042   |
| N40_1        | 40                 | 55541.5        | 11.012     | 1.8121 | <b>55526.5</b>   | 6.151       | 0.391   |
| N40_2        | 40                 | <b>50399</b>   | 10.561     | 1.7159 | <b>50399</b>     | 6.018       | 0.291   |
| N40_3        | 40                 | <b>42118.5</b> | 10.212     | 2.0015 | <b>42118.5</b>   | 6.219       | 0.382   |
| N40_4        | 40                 | <b>40998</b>   | 10.641     | 1.9725 | <b>40998</b>     | 6.181       | 0.512   |
| N40_5        | 40                 | 52623          | 11.001     | 2.0259 | <b>52562</b>     | 6.237       | 0.403   |
| sko56-1      | 56                 | 32454          | 25.901     | 2.0222 | <b>32292</b>     | 15.001      | 0.177   |
| sko56-2      | 56                 | 259531         | 25.818     | 2.3361 | <b>259500</b>    | 15.317      | 0.261   |
| sko56-3      | 56                 | 86215          | 26.061     | 1.8882 | <b>85881</b>     | 15.161      | 0.212   |
| sko56-4      | 56                 | 159092         | 26.005     | 2.2393 | <b>158939</b>    | 14.992      | 0.196   |
| sko56-5      | 56                 | 301663.5       | 25.012     | 2.1913 | <b>299429.5</b>  | 14.981      | 0.201   |
| A-60-01      | 60                 | 772746         | 39.146     | 0.7607 | <b>772202</b>    | 26.069      | 0.081   |
| A-60-02      | 60                 | 430679         | 38.871     | 0.7125 | <b>430384</b>    | 26.153      | 0.094   |
| A-60-03      | 60                 | 331190.5       | 38.755     | 0.8329 | <b>331140.5</b>  | 25.905      | 0.075   |
| A-60-04      | 60                 | 201451         | 39.156     | 0.8074 | <b>201052</b>    | 26.879      | 0.090   |
| A-60-05      | 60                 | 165174         | 39.312     | 0.8116 | <b>165099</b>    | 26.019      | 0.087   |
| A-70-01      | 70                 | 784096         | 60.164     | 0.7607 | <b>779563</b>    | 40.897      | 0.091   |
| A-70-02      | 70                 | 738576         | 60.154     | 0.7001 | <b>738304</b>    | 41.092      | 0.099   |
| A-70-03      | 70                 | 767811.5       | 60.516     | 0.8321 | <b>764463.5</b>  | 40.919      | 0.089   |
| A-70-04      | 70                 | 493976         | 61.289     | 0.7156 | <b>491217</b>    | 41.354      | 0.098   |
| A-70-05      | 70                 | 2188127.5      | 61.541     | 0.8028 | <b>2187780.5</b> | 41.253      | 0.086   |

Table 7. GA and PSA algorithm results on instances with size  $23 < n \leq 70$  and  $t = \lfloor \frac{n}{3} \rfloor$

| Problem name | Num. of facilities | GA result      | GA time(s) | GA RPD | PSA result     | PSA time(s) | PSA RPD |
|--------------|--------------------|----------------|------------|--------|----------------|-------------|---------|
| N30_1        | 30                 | <b>5310</b>    | 6.931      | 1.7099 | <b>5310</b>    | 3.916       | 0.0338  |
| N30_2        | 30                 | <b>14894.5</b> | 6.722      | 1.9321 | <b>14894.5</b> | 3.616       | 0.0412  |
| N30_3        | 30                 | <b>27306</b>   | 6.515      | 2.0125 | <b>27306</b>   | 3.203       | 0.0501  |
| N30_4        | 30                 | <b>44498.5</b> | 6.991      | 1.6991 | <b>44498.5</b> | 3.109       | 0.0421  |
| N30_5        | 30                 | <b>68998</b>   | 6.812      | 1.8972 | <b>68998</b>   | 3.141       | 0.0363  |
| N40_1        | 40                 | <b>83103.5</b> | 12.808     | 0.8229 | <b>83103.5</b> | 7.431       | 0.0501  |
| N40_2        | 40                 | 63303          | 12.901     | 0.8561 | <b>63212</b>   | 7.615       | 0.0404  |
| N40_3        | 40                 | <b>46444.5</b> | 12.813     | 0.8912 | <b>46444.5</b> | 7.687       | 0.0498  |

Continue Table 7. GA and PSA algorithm results on instances with size  $23 < n \leq 70$  and  $t = \lfloor \frac{n}{3} \rfloor$ 

| Problem name | Num. of facilities | GA result     | GA time(s) | GA RPD | PSA result       | PSA time(s) | PSA RPD |
|--------------|--------------------|---------------|------------|--------|------------------|-------------|---------|
| N40_4        | 40                 | <b>45142</b>  | 12.991     | 0.9057 | <b>45142</b>     | 7.591       | 0.0523  |
| N40_5        | 40                 | 58561         | 12.901     | 0.8661 | <b>58492</b>     | 7.573       | 0.0411  |
| sko56-1      | 56                 | 43037         | 29.991     | 1.0965 | <b>42946</b>     | 17.701      | 0.1308  |
| sko56-2      | 56                 | 309523        | 30.156     | 1.1125 | <b>309277</b>    | 17.584      | 0.1936  |
| sko56-3      | 56                 | 107863        | 30.117     | 1.2351 | <b>107469</b>    | 17.612      | 0.2126  |
| sko56-4      | 56                 | 200531        | 29.788     | 1.4083 | <b>200421</b>    | 17.629      | 0.1121  |
| sko56-5      | 56                 | 374636.5      | 30.012     | 0.9934 | <b>374202.5</b>  | 17.681      | 0.1712  |
| A-60-01      | 60                 | 996339        | 44.912     | 0.3954 | <b>996191</b>    | 31.056      | 0.0101  |
| A-60-02      | 60                 | <b>494862</b> | 45.011     | 0.3148 | <b>494862</b>    | 31.346      | 0.0122  |
| A-60-03      | 60                 | 411991.5      | 45.199     | 0.3569 | <b>411379.5</b>  | 30.936      | 0.0115  |
| A-60-04      | 60                 | 267530        | 44.961     | 0.5421 | <b>267511</b>    | 31.004      | 0.0128  |
| A-60-05      | 60                 | 187904        | 44.981     | 0.4901 | <b>187572</b>    | 30.911      | 0.0131  |
| A-70-01      | 70                 | 965581        | 74.105     | 0.3954 | <b>963209</b>    | 50.812      | 0.0116  |
| A-70-02      | 70                 | 962106        | 75.097     | 0.4165 | <b>961762</b>    | 51.019      | 0.0120  |
| A-70-03      | 70                 | 971729.5      | 74.962     | 0.5108 | <b>970083.5</b>  | 50.666      | 0.0168  |
| A-70-04      | 70                 | 625524        | 75.034     | 0.3368 | <b>625518</b>    | 51.199      | 0.0121  |
| A-70-05      | 70                 | 2793270.5     | 74.649     | 0.4289 | <b>2789182.5</b> | 50.727      | 0.0109  |

Table 8. GA and PSA algorithm results on instances with size  $23 < n \leq 70$  and  $t = \lfloor \frac{n}{4} \rfloor$ 

| Problem name | Num. of facilities | GA result      | GA time(s) | GA RPD | PSA result       | PSA time(s) | PSA RPD |
|--------------|--------------------|----------------|------------|--------|------------------|-------------|---------|
| N30_1        | 30                 | <b>6791</b>    | 7.012      | 2.1940 | <b>6791</b>      | 3.981       | 0.2356  |
| N30_2        | 30                 | <b>18928.5</b> | 7.121      | 2.2878 | <b>18928.5</b>   | 3.997       | 0.2639  |
| N30_3        | 30                 | <b>34523</b>   | 7.091      | 2.0061 | <b>34523</b>     | 3.992       | 0.3152  |
| N30_4        | 30                 | <b>52710.5</b> | 7.053      | 2.2215 | <b>52710.5</b>   | 3.989       | 0.2069  |
| N30_5        | 30                 | <b>89548</b>   | 7.190      | 2.9925 | <b>89548</b>     | 3.988       | 0.2912  |
| N40_1        | 40                 | 95555.5        | 14.081     | 0.9552 | <b>98512.5</b>   | 8.001       | 0.0414  |
| N40_2        | 40                 | 73756          | 14.215     | 0.8910 | <b>73752</b>     | 7.981       | 0.0347  |
| N40_3        | 40                 | 65285.5        | 14.011     | 1.0159 | <b>65280.5</b>   | 7.925       | 0.0369  |
| N40_4        | 40                 | <b>63314</b>   | 14.128     | 0.7643 | <b>63314</b>     | 8.012       | 0.0509  |
| N40_5        | 40                 | 74010          | 14.021     | 0.9268 | <b>74006</b>     | 7.991       | 0.0382  |
| sko56-1      | 56                 | <b>49495</b>   | 32.012     | 0.7471 | <b>49475</b>     | 20.012      | 0.0917  |
| sko56-2      | 56                 | 362975         | 31.911     | 0.9125 | <b>362393</b>    | 19.981      | 0.0931  |
| sko56-3      | 56                 | 128851         | 32.128     | 0.7169 | <b>128843</b>    | 19.391      | 0.0896  |
| sko56-4      | 56                 | 239085         | 32.241     | 0.8215 | <b>239048</b>    | 20.019      | 0.0945  |
| sko56-5      | 56                 | 468219.5       | 31.961     | 0.7658 | <b>467565.5</b>  | 19.882      | 0.0792  |
| A-60-01      | 60                 | 1203452        | 55.311     | 0.4702 | <b>1203171</b>   | 38.057      | 0.0215  |
| A-60-02      | 60                 | 557733         | 55.194     | 0.6251 | <b>557293</b>    | 37.976      | 0.0222  |
| A-60-03      | 60                 | 518039.5       | 55.097     | 0.5136 | <b>517645.5</b>  | 38.023      | 0.0301  |
| A-60-04      | 60                 | <b>315892</b>  | 54.99      | 0.4413 | <b>315892</b>    | 38.152      | 0.0299  |
| A-60-05      | 60                 | 246545         | 55.1       | 0.5109 | <b>245884</b>    | 38.025      | 0.0267  |
| A-70-01      | 70                 | 1077997        | 80.821     | 0.4701 | <b>1076667</b>   | 55.323      | 0.0164  |
| A-70-02      | 70                 | 1107759        | 81.137     | 0.3898 | <b>1107427</b>   | 54.957      | 0.0139  |
| A-70-03      | 70                 | 1164060.5      | 81.144     | 0.4976 | <b>1163514.5</b> | 54.481      | 0.0198  |
| A-70-04      | 70                 | 751420         | 81.342     | 0.4612 | <b>751412</b>    | 55.022      | 0.0153  |
| A-70-05      | 70                 | 3294405.5      | 80.912     | 0.5123 | <b>3294390.5</b> | 54.151      | 0.0168  |



Table 9. GA and PSA algorithm results on instances with size  $23 < n \leq 70$  and  $t = \lfloor \frac{n}{5} \rfloor$ 

| Problem name | Num. of facilities | GA result        | GA time(s) | GA RPD | PSA result       | PSA time(s) | PSA RPD |
|--------------|--------------------|------------------|------------|--------|------------------|-------------|---------|
| N30_1        | 30                 | <b>7289</b>      | 7.927      | 1.3362 | <b>7289</b>      | 4.251       | 0.1207  |
| N30_2        | 30                 | <b>19785.5</b>   | 7.836      | 1.5912 | <b>19785.5</b>   | 4.398       | 0.1591  |
| N30_3        | 30                 | <b>39524</b>     | 7.991      | 1.6985 | <b>39524</b>     | 4.414       | 0.1398  |
| N30_4        | 30                 | <b>59587.5</b>   | 7.983      | 1.4113 | <b>59587.5</b>   | 4.298       | 0.1429  |
| N30_5        | 30                 | <b>104449</b>    | 8.001      | 1.7821 | <b>104449</b>    | 4.329       | 0.1942  |
| N40_1        | 40                 | 108232.5         | 15.036     | 1.6984 | <b>108168.5</b>  | 8.001       | 0.0428  |
| N40_2        | 40                 | <b>78263</b>     | 14.997     | 1.3262 | <b>78263</b>     | 8.070       | 0.0378  |
| N40_3        | 40                 | 71439.5          | 15.055     | 1.1892 | <b>71428.5</b>   | 8.015       | 0.0529  |
| N40_4        | 40                 | <b>69254</b>     | 15.025     | 1.3657 | <b>69254</b>     | 8.015       | 0.0491  |
| N40_5        | 40                 | 81719            | 14.991     | 1.3156 | <b>81644</b>     | 8.018       | 0.0366  |
| sko56-1      | 56                 | 55459            | 42.004     | 0.5471 | <b>55248</b>     | 30.001      | 0.1151  |
| sko56-2      | 56                 | 400744           | 41.991     | 0.5138 | <b>398605</b>    | 29.956      | 0.1249  |
| sko56-3      | 56                 | 141395           | 41.971     | 0.5945 | <b>141393</b>    | 29.981      | 0.1068  |
| sko56-4      | 56                 | 264140           | 42.051     | 0.6159 | <b>264078</b>    | 30.012      | 0.1315  |
| sko56-5      | 56                 | 539411.5         | 42.023     | 0.5681 | <b>539340.5</b>  | 29.973      | 0.1437  |
| A-60-01      | 60                 | 1302994          | 61.529     | 0.4322 | <b>1302507</b>   | 45.198      | 0.0131  |
| A-60-02      | 60                 | 680369           | 61.641     | 0.3831 | <b>679772</b>    | 44.986      | 0.0193  |
| A-60-03      | 60                 | 583081.5         | 62.287     | 0.3612 | <b>582558.5</b>  | 44.699      | 0.0201  |
| A-60-04      | 60                 | 345366           | 61.676     | 0.4142 | <b>342637</b>    | 45.154      | 0.0142  |
| A-60-05      | 60                 | 275042           | 62.239     | 0.5171 | <b>273604</b>    | 44.789      | 0.0169  |
| A-70-01      | 70                 | 1274578          | 96.695     | 0.4322 | <b>1272547</b>   | 70.982      | 0.0261  |
| A-70-02      | 70                 | 1232527          | 97.132     | 0.4697 | <b>1230359</b>   | 70.955      | 0.0202  |
| A-70-03      | 70                 | <b>1355131.5</b> | 96.167     | 0.3319 | <b>1355131.5</b> | 71.524      | 0.0191  |
| A-70-04      | 70                 | 827554           | 96.214     | 0.4189 | <b>827460</b>    | 70.867      | 0.0297  |
| A-70-05      | 70                 | 3696487.5        | 97.162     | 0.3046 | <b>3696447.5</b> | 71.571      | 0.0253  |

## Conclusion

The facility layout problems encompass a large category of optimization problems that have various names according to their different characteristics, such as the workshop characteristics, the methods to formulate and tackling different versions of basic layout problems and different methods to solve facility layout problems. Based on layout configuration, multi-row problems are a category of facility layout problems that has attracted the notice of researchers recently. In the literature, three problems of double row layout problem, corridor problem and parallel row ordering problem are introduced as multi-row problems that arrange the facilities in two

rows considering different assumptions. These problems are NP-hard. As exact methods in facility layout problems are only able to solve small and medium instances, in the literature, meta-heuristic algorithms have been applied to solve medium and large instances of different categories of facility layout problems that have shown acceptable performances. In fact, for each category of facility layout problems, after introducing different models to formulate and exact algorithms to solve, meta-heuristic algorithms have been used to solve medium and large facility layout problems.

As PROP was introduced in 2013, only there is one paper in the literature that proposed a MIP formulation for PROP. In the mentioned paper, only instances with size  $n \leq 23$  were introduced and solved to optimality in a long computational time. So, in this paper both genetic and a novel population based simulated annealing algorithms are implemented for the PROP, for the first time to solve medium and large instances of the PROP. In the literature, GA and SA have shown good performance comparing to other meta-heuristic methods to solve different facility layout problems.

In this paper, describing steps of two proposed algorithms, initially the algorithms are evaluated on several instances from the basic paper of PROP (Amaral, 2013b) with size  $n \leq 23$ . Results show that two proposed algorithms are able to achieve optimal solutions, although the computational time of proposed PSA is less than GA in all instances. Moreover, the algorithms are further evaluated on instances with sizes  $n = 30, 40, 56, 60$  and  $70$ . These problems do not have optimal solutions and are introduced and solved in this paper for the first time. Results show for instances with size  $n = 30$ , the best obtained cost function values of two proposed algorithms are similar but PSA algorithm consumes less computational time rather than GA. For larger instances with sizes  $n = 40, 56, 60$  and  $70$ , the proposed PSA algorithm presents a better performance obtaining smaller cost function values and consuming less computational time. Generally, it can be said that the proposed PSA has shown better performance rather than the proposed GA to solve medium and large instances of the PROP.

Regarding different multi-row problems and different methods to solve them that were described, it seems that because in this paper meta- heuristic algorithms are applied to solve medium and large instances of the PROP for the first time, applying different meta- heuristic algorithms to solve this problem can be considered for future works. Also adding some constraints and assumptions to the multi-row problems according to real assumptions of facility layout problems in industries and generating new problems can be considered as an another suggestion to continue this paper.

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